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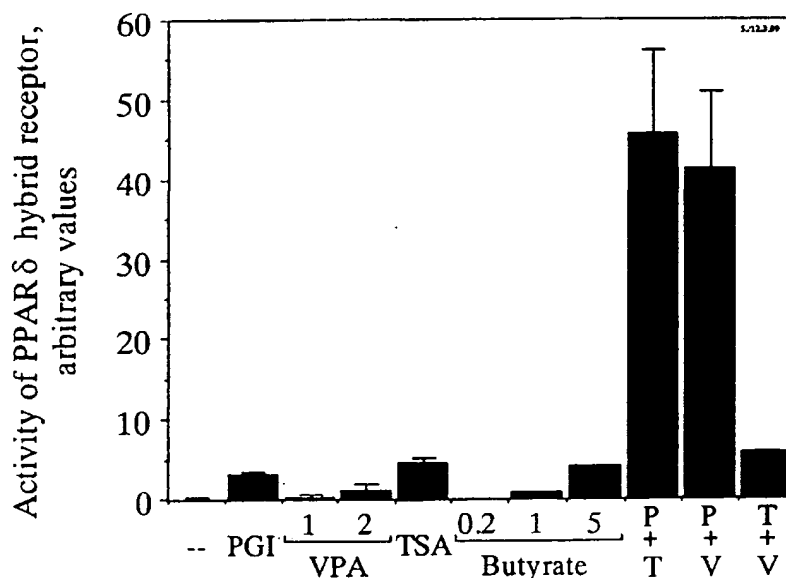
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(54) Title: **VALPROIC ACID AND DERIVATIVES THEREOF AS HISTONE DEACETYLASE INHIBITORS**



(57) Abstract: The present invention relates to the use of the drug valproic acid and derivatives thereof as inhibitors of enzymes having histone deacetylase activity. The invention also relates to the use of those compounds for the manufacture of a medicament for the treatment of diseases which are associated with hypoacetylation of histones or in which induction of hyperacetylation has a beneficial effect for example by induction of differentiation and/or apoptosis in transformed cells.

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**Valproic acid and derivatives thereof as histone  
deacetylase inhibitors**

The present invention relates to the use of the drug valproic acid and derivatives thereof as inhibitors of enzymes having histone deacetylase activity. The invention also relates to the use of those compounds for the manufacture of a medicament for the treatment of diseases which are associated with hypoacetylation of histones or in which induction of hyperacetylation has a beneficial effect for example by induction of differentiation and/or apoptosis in transformed cells.

Local remodelling of chromatin is a key step in the transcriptional activation of genes. Dynamic changes in the nucleosomal packaging of DNA must occur to allow

transcriptional proteins contact with the DNA template. One of the most important mechanisms contributing to chromatin remodelling is the posttranslational modification of histones by acetylation. Change in electrostatic attraction for DNA and steric hindrance introduced by the hydrophobic acetyl group leads to destabilisation of the interaction of histones with DNA. As a result, acetylation of histones disrupts nucleosomes and allows the DNA to become accessible to the transcriptional machinery. Removal of the acetyl groups allows the histones to bind more tightly to DNA and to adjacent nucleosomes and thus maintain a transcriptionally repressed chromatin structure. Acetylation is mediated by a series of enzymes with histone acetyltransferase (HAT) activity. Conversely, acetyl groups are removed by specific histone deacetylase (HDAC) enzymes. Disruption of these mechanisms gives rise to transcriptional misregulation and may lead to leukemic transformation.

Nuclear hormone receptors are ligand-dependent transcription factors that control development and homeostasis through both positive and negative control of gene expression. Defects in these regulatory processes underlie the causes of many diseases and play an important role in the development of cancer.

Several members of the nuclear receptor superfamily have been reported to interact with basal transcription factors, including TFIIB. However, numerous lines of evidence indicate that nuclear receptors must interact with additional factors to mediate both activation and repression of target genes. A number of cofactors that associate with the ligand binding domains of estrogen (ER), retinoic acid (RAR), thyroid hormone (TR), retinoid X (RXR), and other nuclear receptors have recently been identified. Putative coactivator proteins include SRC-1 / NCoA-1, GRIP1 / TIF2 / NCoA-2, p/CIP / ACTR / AIB1, CBP and a variety of other factors (reviewed in Xu et al., 1999, Curr Opin Genet Dev 9, 140-147). Interestingly, SRC proteins as well as CBP have been shown to harbor intrinsic histone

acetyltransferase activity and to exist in a complex with the histone acetylase P/CAF.

Many nuclear receptors, including T3R, RAR and PPAR, can interact with the corepressors N-CoR and SMRT in the absence of ligand and thereby inhibit transcription. Furthermore, N-CoR has also been reported to interact with antagonist-occupied progesterone and estrogen receptors. N-CoR and SMRT have been shown to exist in large protein complexes, which also contain mSin3 proteins and histone deacetylases. Thus, the ligand-induced switch of nuclear receptors from repression to activation reflects the exchange of corepressor and coactivator complexes with antagonistic enzymatic activities.

The N-CoR corepressor complex not only mediates repression by nuclear receptors, but also interacts with additional transcription factors including Mad-1, BCL-6 and ETO. Many of these proteins play key roles in disorders of cell proliferation and differentiation. T3R for example was originally identified on the basis of its homology with the viral oncogene v-erbA, which in contrast to the wildtype receptor does not bind ligand and functions as a constitutive repressor of transcription. Furthermore, mutations in RARs have been associated with a number of human cancers, particularly acute promyelocytic leukemia (APL) and hepatocellular carcinoma. In APL patients RAR fusion proteins resulting from chromosomal translocations involve either the promyelocytic leukemia protein (PML) or the promyelocytic zinc finger protein (PLZF). Although both fusion proteins can interact with components of the corepressor complex, the addition of retinoic acid dismisses the corepressor complex from PML-RAR, whereas PLZF-RAR interacts constitutively. These findings provide an explanation why PML-RAR APL patients achieve complete remission following retinoic acid treatment whereas PLZF-RAR APL patients respond very poorly. The hypothesis that corepressor-mediated aberrant repression may be causal for pathogenesis in APL is

supported by the finding that trichostatin A, which inhibits histone deacetylase (HDAC) function is capable of overcoming the differentiation block in cells containing the PLZF-RAR fusion protein. Furthermore, a PML-RAR patient who had experienced multiple relapses after treatment with retinoic acid has recently been treated with the HDAC inhibitor phenylbutyrate, resulting in complete remission of the leukemia (Warrell et al., 1998, J. Natl. Cancer Inst. 90, 1621-1625).

Additional evidence that histone acetylation plays a role in cancer comes from studies on the AML1-ETO oncoprotein and on chromosomal rearrangements involving the MLL locus (Redner et al., 1999, Blood 94, 417-428).

WO 99/37150 discloses a transcription therapy for cancer comprising administering a retinoid substance and an inhibitor of histone deacetylase.

Several compounds are known to be HDAC inhibitors. Butyric acid, or butyrate, was the first HDAC inhibitor to be identified. In millimolar concentrations, butyrate is not specific for HDAC, it also inhibits phosphorylation and methylation of nucleoproteins as well as DNA methylation. Its analogue phenylbutyrate acts in a similar manner. More specific are trichostatin A (TSA) and trapoxin (TPX). TPX and TSA have emerged as potent inhibitors of histone deacetylases. TSA reversibly inhibits, whereas TPX irreversibly binds to and inactivates HDAC enzymes. Unlike butyrate, nonspecific inhibition of other enzyme systems has not yet been reported for TSA or TPX. TSA and TPX, however, exhibit considerable toxicity and are poorly bioavailable. Therefore they are of limited therapeutic use.

It is one object of the present invention to provide substances which can induce differentiation and/or apoptosis in a wide

variety of transformed cells and therefore can be useful in the treatment of cancer.

The invention relies on the novel finding that valproic acid (VPA; 2-n-propylpentanoic acid) is capable of inhibiting histone deacetylases.

Valproic acid is a known drug with multiple biological activities which depend on different molecular mechanisms of action.

- VPA is an antiepileptic drug.
- VPA is teratogenic. When used as antiepileptic drug during pregnancy VPA can induce birth defects (neural tube closure defects and other malformations) in a few percent of born children. In mice, VPA is teratogenic in the majority of mouse embryos when properly dosed.
- VPA activates a nuclear hormone receptor (PPAR $\delta$ ). Several additional transcription factors are also derepressed but some factors are not significantly derepressed (glucocorticoid receptor, PPAR $\alpha$ ).
- VPA is hepatotoxic, which may depend on poorly metabolized esters with coenzyme A.

The use of VPA derivatives allowed to determine that the different activities are mediated by different molecular mechanisms of action. Teratogenicity and antiepileptic activity follow different modes of action because compounds could be isolated which are either preferentially teratogenic or preferentially antiepileptic (Nau et al., 1991, Pharmacol. Toxicol. 69, 310-321). Activation of PPAR $\delta$  was found to be strictly correlated with teratogenicity (Lampen et al., 1999, Toxicol. Appl. Pharmacol. 160, 238-249) suggesting that, both,

PPAR $\delta$  activation and teratogenicity require the same molecular activity of VPA. Also, differentiation of F9 cells strictly correlated with PPAR $\delta$  activation and teratogenicity as suggested by Lampen et al., 1999, and documented by the analysis of differentiation markers (Werling et al., 2001, Mol. Pharmacol. 59, 1269-1276).

It is shown in the present application, that PPAR $\delta$  activation is caused by the HDAC inhibitory activity of VPA and its derivatives. Furthermore it is shown that the established HDAC inhibitor TSA activates PPAR $\delta$  and induces the same type of F9 cell differentiation as VPA. From these results we conclude that not only activation of PPAR $\delta$  but also induction of F9 cell differentiation and teratogenicity of VPA or VPA derivatives are most likely caused by HDAC inhibition.

The present invention is based on the finding that VPA and the derivatives described in this application are inhibitors of histone deacetylases. The finding of this novel mechanism of action of VPA and compounds derived thereof, i.e. the inhibition of enzymes with histone deacetylase activity led us to the proposition that VPA due to its HDAC-inhibitory activity should be useful to induce differentiation and/or apoptosis in a wide variety of cancer cells for two reasons: (1) these enzymes are present in all cells and (2) pilot studies with model compounds such as butyrate or TSA which are different from those described in this invention had shown that HDAC inhibitors induce differentiation in a wide variety of cells.

The activity to induce differentiation and/or apoptosis in a wide variety of transformed cells is a much more complex biological activity than only the inhibition of proliferation. In the latter case it would not be evident, why only the proliferation of transformed (tumor) but not of normal cells should be inhibited. The induction of apoptosis,



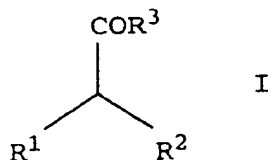
differentiation or more specifically re-differentiation in dedifferentiated tumor cells provides a rationale why the compounds of this invention have beneficial effects in a wide variety of tumors by induction of differentiation and/or apoptosis. This proposition was confirmed in a wide variety of tumor cells (see examples).

Antiepileptic and sedating activities follow different structure activity relationships and thus obviously depend on a primary VPA activity distinct from HDAC inhibition.

The mechanism of hepatotoxicity is poorly understood and it is unknown whether it is associated with formation of the VPA-CoA ester. The use according to the invention, e.g. HDAC inhibition, however, appears not to require CoA ester formation.

US patent No. 5,672,746 and WO 96/06821 disclose the use of VPA and derivatives thereof for the treatment of neurodegenerative and neuroproliferative disorders.

One aspect of the present invention is the use of VPA and derivatives thereof as an inhibitor of enzymes having histone deacetylase activity. Derivatives of VPA are  $\alpha$ -carbon branched carboxylic acids as described by formula I



wherein  $\text{R}^1$  and  $\text{R}^2$  independently are a linear or branched, saturated or unsaturated aliphatic  $\text{C}_{2-25}$ , preferably  $\text{C}_{3-25}$  hydrocarbon chain which optionally comprises one or several heteroatoms and which may be substituted,  $\text{R}^3$  is hydroxyl, halogen, alkoxy or an optionally alkylated amino group.

Different  $R^1$  and  $R^2$  residues give rise to chiral compounds. Usually one of the stereoisomers has a stronger teratogenic effect than the other one (Nau et al., 1991, Pharmacol. Toxicol. 69, 310-321) and the more teratogenic isomer more efficiently activates PPAR $\delta$  (Lampen et al, 1999). Therefore, this isomer can be expected to inhibit HDACs more strongly (this invention). The present invention encompasses the racemic mixtures of the respective compounds, the less active isomers, and in particular the more active isomers.

The hydrocarbon chains  $R^1$  and  $R^2$  may comprise one or several heteroatoms (e.g. O, N, S) replacing carbon atoms in the hydrocarbon chain. This is due to the fact that structures very similar to that of carbon groups may be adopted by heteroatom groups when the heteroatoms have the same type of hybridization as a corresponding carbon group.

$R^1$  and  $R^2$  may be substituted. Possible substituents include hydroxyl, amino, carboxylic and alkoxy groups as well as aryl and heterocyclic groups.

Preferably,  $R^1$  and  $R^2$  independently comprise 2 to 10, more preferably 3 to 10 or 5 to 10 carbon atoms. It is also preferred that  $R^1$  and  $R^2$  independently are saturated or comprise one double bond or one triple bond. In particular, one of the side chains ( $R^1$ ) may preferably contain  $sp^1$  hybridized carbon atoms in position 2 and 3 or heteroatoms which generate a similar structure. This side chain should comprise 3 carbon or heteroatoms but longer chains may also generate HDAC-inhibiting molecules. Also inclusion of aromatic rings or heteroatoms in  $R^2$  is considered to generate compounds with HDAC inhibitory activity because the catalytic site of the HDAC protein apparently accommodates a wide variety of binding molecules. With the novel observation that teratogenic VPA derivatives are HDAC inhibitors, also compounds which have

previously been disregarded as suitable antiepileptic agents are considered as HDAC inhibitors under this invention. In particular, but not exclusively, compounds having a propinyl residue as  $R^1$  and residues of 7 or more carbons as  $R^2$ , are considered (Lampen et al, 1999).

Preferably, the group "COR<sup>3</sup>" is a carboxylic group. Also derivatization of the carboxylic group has to be considered for generating compounds with potential HDAC inhibitory activity. Such derivatives may be halides (e.g. chlorides), esters or amides. When  $R^3$  is alkoxy, the alkoxy group comprises 1 to 25, preferably 1-10 carbon atoms. When  $R^3$  is a mono- or dialkylated amino group, the alkyl substituents comprise 1 to 25, preferably 1-10 carbon atoms. An unsubstituted amino group, however, is preferred.

According to the present invention also pharmaceutically acceptable salts of a compound of formula I can be used. According to the present invention also substances can be used which are metabolized to a compound as defined in formula I in the human organism or which lead to the release of a compound as defined in formula I for example by ester hydrolysis.

In a particular embodiment, the invention concerns the use of an  $\alpha$ -carbon branched carboxylic acid as described by formula I or of a pharmaceutically acceptable salt thereof as an inhibitor of an enzyme having histone deacetylase activity wherein  $R^1$  is a linear or branched, saturated or unsaturated, aliphatic C<sub>5-25</sub> hydrocarbon chain,  $R^2$  independently is a linear or branched, saturated or unsaturated, aliphatic C<sub>2-25</sub> hydrocarbon chain, but not  $-\text{CH}_2-\text{CH}=\text{CH}_2$ ,  $-\text{CH}_2-\text{C}\equiv\text{CH}$  or  $-\text{CH}_2-\text{CH}_2-\text{CH}_3$ ,  $R^1$  and  $R^2$  are optionally substituted with hydroxyl, amino, carboxylic, alkoxy, aryl and/or heterocyclic groups, and  $R^3$  is hydroxyl.

In yet another embodiment the invention concerns the use of an  $\alpha$ -carbon branched carboxylic acid as described by formula I or of a pharmaceutically acceptable salt thereof as an inhibitor of an enzyme having histone deacetylase activity wherein  $R^1$  is a linear or branched, saturated or unsaturated, aliphatic  $C_{3-25}$  hydrocarbon chain, and  $R^2$  independently is a linear or branched, saturated or unsaturated, aliphatic  $C_{2-25}$  hydrocarbon chain,  $R^1$  or  $R^2$  comprise one or several heteroatoms (e.g. O, N, S) replacing carbon atoms in the hydrocarbon chain,  $R^1$  and  $R^2$  are optionally substituted with hydroxyl, amino, carboxylic, alkoxy, aryl and/or heterocyclic groups, and  $R^3$  is hydroxyl.

In yet another embodiment of the invention  $R^1$  and  $R^2$  do not comprise an ester group (-CO-O-). The atom of  $R^1$  which is next to the  $\alpha$ -carbon of the carboxylic acid (derivative) of formula I and covalently linked to said  $\alpha$ -carbon may be a carbon atom. The atom of  $R^2$  which is next to the  $\alpha$ -carbon of the carboxylic acid (derivative) of formula I and covalently linked to said  $\alpha$ -carbon may be a carbon atom.  $R^1$  and  $R^2$  may be hydrocarbon chains comprising no heteroatoms O, N or S.

The compounds which are most preferably used according to the present invention are VPA, S-4-yn VPA, 2-EHXA (2-Ethyl-hexanoic acid).

The compounds are useful for inhibiting mammalian (for use of cell lines in in vitro assays and animal models systems) and in particular human (in vivo and in vitro) histone deacetylases HDAC 1-3 (class I) and HDAC 4-8 (class II).

The compounds may be used to induce the differentiation and/or apoptosis of cells such as undifferentiated tumour cells. Presumably, this reflects a general mechanism, as differentiation can be induced in F9 teratocarcinoma cells, MT 450 breast cancer cells, HT-29 colon carcinoma cells and several leukemia cell lines as assessed by morphological alterations and specific marker gene or protein expression. Furthermore, for example MT450 cells can be induced to undergo apoptosis (see example 6).

The invention also concerns the use of a compound of formula I for the induction of differentiation and/or apoptosis of transformed cells.

Another aspect of the present invention is the use of a compound of formula I for the manufacture of a medicament for the treatment of a disease which is associated with gene-specific hypoacetylation of histones. There are a number of diseases which are associated with aberrant repression of specific genes which correlates with a local level of histone acetylation below the regular level.

Yet another aspect of the invention is the use of a compound of formula I for the manufacture of a medicament for the treatment of a disease in which the induction of hyperacetylation of histones has a beneficial effect resulting in differentiation and/or apoptosis of a patient's tumor cells and thus causing a clinical improvement of the patient's condition.. Examples of such diseases are skin cancer, estrogen receptor-dependent and independent breast cancer, ovarian cancer, prostate cancer, renal cancer, colon and colorectal cancer, pancreatic cancer, head and neck cancer, small cell and non-small cell lung carcinoma. The induction of hyperacetylation may also be beneficial by reverting inappropriate gene expression in diseases based on aberrant recruitment of histone deacetylase activity such as thyroid resistance syndrome.

The compounds and salts thereof can be formulated as pharmaceutical compositions (e.g. powders, granules, tablets, pills, capsules, injections, solutions, foams, enemas and the like) comprising at least one such compound alone or in admixture with pharmaceutically acceptable carriers, excipients and/or diluents. The pharmaceutical compositions can be formulated in accordance with a conventional method. Specific dose levels for any particular patient will be employed depending upon a variety of factors including the activity of specific compounds employed, the age, body weight, general health, sex, diet, time of administration, route of administration, rate of excretion, drug combination, and the severity of the particular disease undergoing therapy. The active ingredient will preferably be administered in an appropriate amount, for example, selected from the range of about 10 mg/kg to 100 mg/kg body weight a day orally or intravenously. The dose levels are not specifically restricted as long as serum levels of 0.05 mM to 3 mM, preferably of about 0.4 mM to 1.2 mM are achieved.

Another aspect of the invention is a method for the identification of substances having histone deacetylase inhibitory activity which comprises providing a derivative of valproic acid, determining its histone deacetylase inhibitory activity, and selecting the substance if the substance has histone deacetylase inhibitory activity. Valproic acid can serve as a lead substance for the identification of other compounds exhibiting histone deacetylase inhibitory activity. Thereby compounds may be selected which show increased HDAC inhibitory activity at lower doses and serum levels and have decreased effects on the central nervous system such as sedating activity. Another parameter that may be optimised is the appearance of the hepatotoxic effect. Compounds may be selected which show a reduced liver toxicity. The derivatives may be provided by synthesising compounds which comprise

additional and/or modified substituents. The HDAC inhibitory activity may be determined by a state-of-the-art technology such as transcription repression assay, a Western Blot which detects acetylation of histone H3 and/or histone H4, or by an enzymatic assay.

The transcriptional assay for repressor activity exploits activation and derepression of a Gal4-dependent reporter gene. This assay can be performed either by transient transfection of mammalian cell lines (e.g. HeLa, 293T, CV-1) or with specifically constructed permanent cell lines. Transcription factors such as thyroid hormone receptor, PPAR $\delta$ , retinoic acid receptor, N-CoR and AML/ETO repress transcription when they bind to a promoter containing UAS elements as fusion proteins with the heterologous DNA-binding domain of the yeast Gal4 protein. In the absence of the Gal4-fusion protein the reporter gene has a high basal transcriptional activity due to the presence of binding sites for other transcription factors in the thymidine kinase promoter. The Gal4 fusion proteins repress this activity by up to 140-fold. HDAC inhibitors induce relief of this repression which can be detected as an increase in reporter gene activity (e.g. by luciferase assay).

Histone deacetylase inhibitors induce the accumulation of N-terminally hyperacetylated histones H3 and H4. These acetylated histones can be detected by Western blot analysis of whole cell extracts or of histone preparations from histone deacetylase inhibitor-treated cells using antibodies specific for the acetylated N-terminal lysine residues of histones H3 and H4.

The enzymatic assay for HDAC activity records the release of  $^3\text{H}$ -labeled acetic acid from hyperacetylated substrates. Sources of HDAC activity can be co-immunoprecipitates with antibodies directed against N-CoR (or other repressors known to recruit HDACs) or crude cell extracts containing histone deacetylases (e.g. HeLa, 293T, F 9). Substrates may be either chemically  $^3\text{H}$ -

acetylated peptides corresponding to the N-termini of histones H3 or H4 or histone proteins isolated from metabolically labelled cells which were treated with HDAC inhibitors. After extraction with ethyl acetate the release of <sup>3</sup>H-labeled acetic acid is detected by liquid scintillation counting.

Yet another aspect of the invention is a method for profiling of the HDAC isoenzyme specificity of a compound as defined in formula I wherein the binding of the compound to HDAC and/or the competition for binding to HDAC is measured.

The method may comprise the following steps: HDACs are either immune precipitated with HDAC isoform specific antibodies, with antibodies directed against corepressor complexes, or with specific antibodies against recombinant HDACs overexpressed in transgenic cells. The method may further involve determination of individual HDACs present in these immune precipitates by Western blot analysis. Radiolabeled VPA or compounds according to formula I are bound to the immune precipitates. The amount of bound compound is determined through measurement of bound radioactivity after appropriate washing steps. A variation of this aspect involves binding of one labeled HDAC inhibitor such as VPA, TSA or trapoxin and competition of binding by a compound according to formula I. Another variation of the method involves the use of alternate labeling and/or detection procedures.

It is preferred that compounds are selected which specifically inhibit only a subset of HDACs.

Another aspect of the invention is the use of VPA or derivatives thereof to define genes which are induced by said compounds in cells such as primary human or rodent cells, leukemic cells, other cancer cells or tumor cell lines. Methods to define such genes that are induced by VPA include established technologies for screening large arrays of cDNAs,



expressed sequence tags or so-called unigene collections. Also the use of subtractive hybridization techniques is suitable to define genes which are induced by VPA or derivatives thereof. The use of these methods to identify potential targets for drug development downstream of HDAC-inhibition, and furthermore the use of these methods to define diagnostic means in order to facilitate the therapeutic treatment of patients with suitable compounds is part of this invention. Considering the low general toxicity of VPA in the organism compared to other HDAC-inhibitors it is a specific aspect of this invention to use VPA or derivatives thereof for defining target genes which are selectively regulated or not regulated by VPA, particularly also in comparison to other HDAC inhibitors like trichostatin A.

The present invention also concerns a diagnostic method to identify tumors comprising the step of testing whether a tumor is responsive to treatment with compounds as defined by formula I. The method preferably comprises the method for the identification of genes induced by VPA or a derivative thereof described supra. In a particular embodiment, the diagnostic method comprises the use of nucleic acid technology, preferably of hybridization or polymerase chain reaction for detection. Other types of nucleic acid technology, however, may be employed. In another embodiment the method comprises the use of specific antibodies against differentially regulated proteins for detection. For this purpose proteins encoded by the genes showing deregulation of their expression upon VPA treatment would be expressed e.g. in recombinant expression systems and antibodies directed against these proteins would be generated. Subsequently such antibodies could be used (or patterns of antibodies) to characterize the status of a tumor or tumor cells for diagnostic and/or prognostic reasons.

The present invention provides novel possibilities to treat various cancer diseases. Applicant found that VPA and

derivatives thereof are potent HDAC inhibitors. The HDAC inhibitors known so far are either nonspecific like butyrate, or toxic or poorly bioavailable in the whole organism like TSA and TPX. VPA has the advantage that it is already an approved drug and has been used over decades for the treatment of epilepsy in human. Thus, a vast amount of data concerning pharmaceutical acceptability and the lack of serious side effects are available. Thus VPA should be a suitable drug for the use in humans to induce differentiation and/or apoptosis in transformed cells and by that to exert beneficial effects in a wide variety of patients suffering from cancer.

Figure 1 describes the histone deacetylase inhibitor-like activation of PPAR $\delta$  by VPA (example 1).

Figure 2 shows that VPA activates several transcription factors in addition to PPAR $\delta$  (example 2).

Figure 3 shows VPA-induced accumulation of hyperacetylated histones H3 and H4 (example 3).

Figure 4 shows the biochemical analysis of histone deacetylase activity in the absence or presence of VPA (example 4).

Figure 5 shows indicators of VPA induced differentiation in HT-29 colonic carcinoma cells, F9-teratocarcinoma cells, and RenCa renal carcinoma cells. The phenotypes of F9-teratocarcinoma cells differentiated by VPA or the histone deacetylase inhibitor trichostatin A appear identical (example 5).

Figure 6 shows induction of apoptosis in MT450 breast cancer cells (example 6).

Figure 7 shows the the loss of viable cells upon treatment with valproic acid. Renca-lacZ, Renca-lacZ/EGFR, Renca-lacZ/EGFRvIII and Renca-lacZ/ErbB2 renal carcinoma cells (A) or SKOV3 ovarian carcinoma cells, SKBR3, MCF7, MDA-MB453 and MDA-MB468 breast carcinoma cells, and A431 squamous cell carcinoma cells (B) were incubated with the indicated concentrations of valproic acid (VPA). The relative number of viable cells was determined using the enzymatic MTT assay, measuring cellular metabolic activity, as described in Example 7. Each point represents the mean of a set of data determined in triplicate (example 7).

Figure 8 shows the reduction in cellular biomass after treatment of cell cultures with VPA (example 8)

The following examples further illustrate the invention.

#### Example 1

Activation of a PPAR $\delta$ -glucocorticoid receptor hybrid protein by VPA

A reporter gene cell line for activation of the PPAR $\delta$  ligand binding domain was constructed in CHO cells. A subclone of CHO cells was used which contained a transgenic reporter gene expressing a secreted form of the human placental alkaline phosphatase under control of the glucocorticoid receptor-dependent LTR-promoter of the mouse mammary tumor virus (Göttlicher et al. (1992) Proc. Natl. Acad. Sci. USA 89, pp. 4653-4657). A hybrid receptor comprising the amino-terminus of the glucocorticoid receptor fused to the ligand binding domain of PPAR $\delta$  was expressed in these cells essentially as described for the expression of the corresponding hybrid of PPAR $\alpha$  (Göttlicher et al., 1992, ibd.). The ligand binding domain of PPAR $\delta$  was used starting at amino acid 138 as deduced from the sequence published by Amri et al. (J. Biol. Chem. 270 (1995)

pp. 2367-2371). Activation of the PPAR $\delta$  ligand binding domain in these cells induces expression of the alkaline phosphatase reporter gene which is detectable by an enzymatic assay from the cell culture supernatant. Similar cells expressing the full length glucocorticoid receptor served as negative controls for specificity of receptor activation. For the experiment shown in figure 1 the PPAR $\delta$  hybrid receptor expressing cells were seeded at 20 % confluency into 24-well culture dishes and treated for 40 h with the PPAR $\delta$  ligand carbocyclic prostaglandin I<sub>2</sub> (cPGI, 5  $\mu$ M), VPA (1 or 2 mM), or the histone deacetylase inhibitors sodium butyrate (0,2-5 mM) and trichostatin A (TSA, 300 nM). Reporter gene activity was monitored by an enzymatic assay (alkaline phosphatase). Values except for butyrate are means  $\pm$  S.D. from triplicate determinations in 2 independent experiments which were normalized according to cPGI-induced activity (figure 1). The highly synergistic activation of the reporter gene by VPA together with the PPAR $\delta$  ligand cPGI (P+V) which is similar to the synergistic activation by Trichostatin A together with cPGI (P+T), and the lack of synergism with trichostatin (T+V) or butyrate (not shown) indicate that VPA does not act like a bona fide ligand to PPAR $\delta$ . VPA rather affects PPAR $\delta$  activity by a mechanism which lies in the same sequence of events by which also the inhibitors of corepressor-associated histone deacetylases induce transcriptional activity of PPAR $\delta$ .

## Example 2

### Activation of transcriptional repressors by VPA

The transcription factors thyroid hormone receptor (TR), peroxisome proliferator activated receptor  $\delta$  (PPAR $\delta$ ), retinoic acid receptor (RAR), the corepressor N-CoR and the AML/ETO fusion protein repress transcription when they bind to a

promoter containing UAS sites (Gal4 response element) as fusion proteins with the heterologous DNA binding domain of the yeast Gal4 protein. In the absence of the Gal4 fusion protein a luciferase reporter gene is transcribed at a high basal level due to the presence of binding sites for other transcription factors in the thymidine kinase (TK) promoter. Hela cells were transfected with a UAS TK luciferase reporter plasmid (Heinzel et al., 1997, Nature 387, pp 43-48) and expression plasmids for the indicated Gal4 fusion proteins using the calcium phosphate precipitate method. After 24 h the medium was changed and cells were incubated with histone deacetylase inhibitors for a further 24 h. Transcriptional repression is measured as luciferase activity relative to the baseline of cells transfected with an expression plasmid for the Gal4 DNA binding domain alone (Figure 2). The Gal4 fusion proteins repress this baseline activity by up to 140 fold. VPA at a concentration of 1 mM (close to the serum levels which are reached during therapeutic use) induces relief of this repression which is indicated as an increase in reporter gene activity. A relief of repression is also found after treatment with established histone deacetylase inhibitors (10 nM Trapoxin, 100 nM TSA) as well as after partial activation of TR and PPAR $\delta$  by their respective ligands. A combination of ligand and HDAC inhibitors (including VPA) results in a synergistic effect, indicating that different molecular mechanisms are involved. Figure 2 shows that VPA affects the activity of several distinct transcription factors and cofactors. This finding suggests that VPA acts on a common factor in the regulation of gene expression such as corepressor-associated histone deacetylases rather than on individual transcription factors or receptors (e.g. as a ligand).

### Example 3

#### Accumulation of hyperacetylated histones in VPA-treated cells

VPA and established histone deacetylase inhibitors like sodium butyrate (NaBu) or trichostatin A (TSA) induce the accumulation of hyperacetylated histones H3 and H4. These acetylated histones can be detected by Western blot analysis in cell extracts of appropriately treated cells. Figure 3 shows the results of such an analysis from a representative experiment. In this experiment both the time course of VPA-induced hyperacetylation (A) and the required VPA concentration (B) were determined.

(A) For the time course analysis F9 cells were seeded into 6-well culture dishes 30 h before the intended time point of analysis. Individual cultures were treated at the indicated time points before analysis by addition of 10-fold concentrated stock solutions in culture medium of VPA or trichostatin A. Whole cell extracts were prepared by rinsing the cell cultures twice in ice-cold phosphate buffered saline and lysis of cells in 250  $\mu$ l of sample buffer for denaturing SDS gel electrophoresis. DNA of collected samples was sheared by sonication and samples were separated on a 15% denaturing polyacrylamide gel. Acetylated histones H3 and histone H4 were detected by Western blot analysis using commercially available antibodies (Upstate Biotechnology) specific for the acetylated forms of histones (Ac-H3, Cat-Nr.: 06-599; Ac-H4, Cat-Nr.: 06-598). Equal loading of the lanes was confirmed by staining a part of the polyacrylamide gel by Coomassie blue.

(B) For determination of the required VPA dose F9 cells were cultured in 6-well culture dishes for 8 h prior to addition of VPA at the indicated concentrations. Whole cell extracts were prepared 16 h after treatment as described above. Analysis for

acetylated histones H3 and H4 was performed as described in (A). VPA concentrations in the range of blood serum levels reached during therapeutic use of VPA as antiepileptic agent in humans induce hyperacetylation of histones H3 and H4. At serum levels only slightly exceeding those intended for antiepileptic therapy VPA induces histone hyperacetylation as efficiently as sodium butyrate or trichostatin A used at concentrations which are expected to have a maximum effect. This experiment indicates that VPA or a metabolite formed in F9 cells inhibits histone deacetylase activity.

#### Example 4

VPA and derivatives inhibit histone deacetylase activity *in vitro*

Immune precipitates from whole cell extracts using antibodies against the corepressor N-CoR or mSin3 contain histone deacetylase activity. This enzymatic activity is measured by incubating the immune precipitates with radioactively acetylated histone substrates from cells in which histones have been hyperacetylated in the presence of  $^3\text{H}$ -acetate. The release of  $^3\text{H}$ -acetate is detected as a measure of enzymatic activity by extraction with ethyl acetate and subsequent liquid scintillation counting (Figure 4). Addition of the histone deacetylase inhibitor trichostatin A (TSA,  $10^{-7}$  M) to the reaction *in vitro* severely inhibits the enzymatic activity. VPA (from left to right 0.2 mM, 1 mM, 5 mM) and the related compounds ethyl hexanoic acid (EHXA, from left to right 0.008 mM, 0.04 mM, 0.2 mM, 1 mM, 5 mM), R-4-yn VPA (from left to right 0.2 mM, 1 mM, 5 mM) and S-4-yn VPA (from left to right 0.2 mM, 1 mM, 5 mM) were tested for HDAC inhibitory activity. The assays were performed with N-CoR immunoprecipitates from 293T cells in duplicate. Immunoprecipitates were pretreated with HDAC inhibitors for 15 min prior to the addition of substrate and subsequent incubation for 2.5 h at 37°C

(untreated enzyme activity 2,205 cpm = 100%). Precipitates of a preimmune serum served as a negative control.  $EC_{50}$  values are 0.6 mM for VPA, 0.2 mM for EHXA and 0.3 mM for S-4-yn VPA, whereas the stereoisomer R-4-yn VPA is inactive. These data show that VPA by itself rather than a cellular metabolite inhibits histone deacetylase activity.

#### Example 5

Induction of cell differentiation in F9 teratocarcinoma, HT-29 colonic cancer, and RenCa renal carcinoma cells.

Histone deacetylase inhibitors and VPA in particular induce differentiation of dedifferentiated tumorigenic cells. Cell differentiation is associated with cell cycle arrest, morphological alterations and the appearance of expression of markers of the differentiated phenotype. Morphological alterations were determined by microscopic evaluation of F9 and HT-29 cells. One parameter of differentiation, the cell cycle arrest, was shown in F9 teratocarcinoma, estrogen independent MT-450 breast cancer and HT-29 colonic carcinoma cells by means of the reduced incorporation of  $^3\text{H}$ -thymidine into cultured cells. F9 and HT-29 cells were cultured for 36 h in the absence or the presence of 1 mM VPA in 96-well culture dishes. 37 kBq of  $^3\text{H}$ -thymidine were added for additional 12 h of culture. MT-450 cells were cultured for 72 h prior to a 1 h  $^3\text{H}$ -thymidine labelling period. Incorporation of  $^3\text{H}$ -thymidine into DNA was determined by automatic cell harvesting and liquid scintillation counting. VPA pretreatment reduced the rate of thymidine incorporation by  $48 \pm 5\%$ ,  $63 \pm 8\%$ , and  $52 \pm 8\%$  in F9, MT-450, and HT-29 cells, respectively. The dose-response for the reduction of thymidine incorporation into HT-29 cells (Figure 5A) was determined by the same experimental procedure. In addition, the induction of a cell differentiation marker was shown in F9 teratocarcinoma cells (Figure 5B).



F9 teratocarcinoma cells were treated for 48 h with VPA (1 mM), sodium butyrate (B, 1 mM) and trichostatin A (TSA, 30 nM). Differentiation was followed by morphological criteria, a reduced rate in the increase of cell number (e.g. cell cycle arrest, data not shown), the drop of <sup>3</sup>H-thymidine incorporation by 48% during a 12 h pulse labeling period (see above) and the appearance of nuclear AP-2 protein (Figure 5B) as a specific marker of histone deacetylase inhibitor-induced differentiation of F9 cells. Nuclear AP-2 protein was detected in nuclear extract which had been prepared by mild detergent lysis (25 mM Tris, pH 7.5; 1 mM EDTA, 0.05% NP40) of treated or non-treated F9 cells, recovery of nuclei by centrifugation (3000 x g, 5 min) and lysis of nuclei in sample buffer for denaturing SDS gel electrophoresis. Nuclear extracts were separated on a 9 % SDS polyacrylamide gel. AP-2 protein was detected by Western blot analysis using a rabbit polyclonal antibody (Santa Cruz, Cat.-No.: SC-184) at a dilution of 1/1000 in Tris buffered saline containing 3% non-fat dry milk and 0.05% Tween 20. Both VPA and trichostatin A induce nuclear AP-2 protein whereas the activity of butyrate at the chosen concentration is weak. Since appearance of AP-2 is a delayed effect which is only detectable after 36 to 40 h of VPA treatment the weak activity of butyrate may be caused by efficient metabolism of the compound. Nevertheless, VPA induces differentiation of the epithelial F9 cell line in a way indistinguishable from differentiation by other histone deacetylase inhibitors.

Induction of differentiation in RenCa-LacZ cells by VPA was determined by alterations in cell morphology. RenCa-LacZ cells were cultured for 36 h either in the absence or the presence of 1 mM VPA. Morphological alterations were observed by phase contrast microscopy and micrographs of representative fields were taken (Fig. 5C)

### Example 6

#### Induction of apoptosis in MT-450 breast cancer cells

MT-450 cells were cultured for 72 h in the absence or presence of 1 mM VPA. Apoptotic cells were detected by flow cytometric analysis after staining of cell surface exposed phosphatidylserine with FITC-conjugated annexin V (Becton Dickinson) according to suppliers instructions. Dead cells were excluded by propidium iodide staining. Cells positive for annexin V and negative for propidium iodide uptake (lower right quadrant in figure 6) were judged and counted as apoptotic cells.

### Example 7

#### Loss of viable tumor cells upon treatment with valproic acid (MTT tests)

#### Cell lines and cell culture

Human MDA-MB468, MDA-MB453 and SKBR3 breast carcinoma cells, A431 squamous cell carcinoma cells, and SKOV3 ovarian carcinoma cells were maintained in Dulbecco's modified Eagle's medium (DMEM, BioWhittaker, Verviers, Belgium) supplemented with 10% heat inactivated fetal bovine serum (FBS), 2 mM L-glutamine, 100 units/ml penicillin, and 100 µg/ml streptomycin. Human MCF7 breast carcinoma cells were grown in RPMI medium supplemented as described above.

Renal cell carcinoma (Renca) cells stably transfected with plasmid pZeoSV2/lacZ encoding E. coli β-galactosidase (Renca-lacZ cells) (Maurer-Gebhard et al., Cancer Res. 58: 2661-2666, 1998) were grown in RPMI-1640 medium supplemented with 8% FBS, 2 mM L-glutamine, 100 U/ml penicillin, 100 µg/ml streptomycin,

0.25 mg/ml Zeocin. Renca-lacZ cells cotransfected with plasmids pSV2ErbB2N and pSV2neo encoding c-erbB2 and neomycin resistance (Renca-lacZ/ErbB2) (Maurer-Gebhard et al., Cancer Res. 58: 2661-2666, 1998), or plasmids pLTR-EGFR or pLTR-EGFRvIII and pSV2neo encoding epidermal growth factor (EGF) receptor, the oncogenically activated EGF receptor variant EGFRvIII, and neomycin resistance (Renca-lacZ/EGFR and Renca-lacZ/EGFRvIII) (Schmidt et al., Oncogene 18: 1711-1721, 1999) were grown in the same medium further containing 0.48 mg/ml G418.

#### Cell viability assays

Tumor cells were seeded in 96 well plates at a density of  $1 \times 10^4$  cells/well in normal growth medium. Valproic acid was added at final concentrations of 1 or 3 mM to triplicate samples and the cells were incubated for 40 h (Renca-lacZ, Renca-lacZ/ErbB2, Renca-lacZ/EGFR, Renca-lacZ/EGFRvIII, SKBR3 and SKOV3 cells) or 70 h (A431, MCF7, MDA-MB453 and MDA-MB468 cells). Control cells were grown in the absence of valproic acid. Ten  $\mu$ l of 10 mg/ml 3-(4,5-dimethylthiazole-2-yl)-2,5 diphenyltetrazolium bromide (MTT) (Sigma, Deisenhofen, Germany) in PBS were added to each well and the cells were incubated for another 3 h. Cells were lysed by the addition of 90  $\mu$ l of lysis buffer (20% SDS in 50% dimethyl formamide, pH 4.7). After solubilization of the formazan product, the absorption at 590 nm was determined in a microplate reader (Dynatech, Denkendorf, Germany) and the relative amount of viable cells in comparison to cells grown without the addition of valproic acid was calculated.

#### Results

The results presented in Figure 7 show that valproic acid reduces the viability of breast carcinoma cells, ovarian carcinoma cells, squamous cell carcinoma cells, renal carcinoma cells, and renal carcinoma cells expressing at high levels the ErbB2 or EGF receptor proto-oncogenes, or the oncogenically

activated EGF receptor variant EGFRvIII, in a concentration dependent manner. These results demonstrate that valproic acid potently reduces the number and/or viability of a wide variety of tumor cells derived from solid tumors of epithelial origin. The loss of viability could indicate a reduction in cell number upon induction of cellular differentiation and/or induction of cell death. The observation of changes of cellular morphology suggest that cellular differentiation is at least responsible for a part of the effect. This induction of differentiation and/or induction of cell death suggest that valproic acid and derivatives thereof could be used for the therapy of such tumors.

#### Example 8

Reduction in cellular biomass after treatment of human cancer cell cultures with valproic acid (see Figure 8).

VPA induces differentiation and/or cell death in a series of human cancer cells and reduces the total cellular biomass of human cancer cell cultures. The reduction in biomass could indicate cell loss due to cell death and/or differentiation associated cell cycle arrest. Quantitative parameters, e.g. the loss of biomass, was determined in 30 human cancer cell lines (Figure 8 e) and twelve examples of dose-response curves are shown, e.g. BT-549 breast cancer cells (1), estrogen dependent ZR-75 breast cancer cells (2), DMS-114 small cell lung cancer cells (3), NCI-H226 non-small cell lung cancer cells (4), SK-MEL-28 skin cancer cells (5), OVCAR-3 ovarian cancer cells (6), HUP-T3 pancreatic cancer cells (7), DU-145 prostate cancer cells (8), DETROIT-562 head and neck cancer cells, LS-174 colon cancer cells (10), A-172 brain cancer cells (11) and HL-60 leukemia cells (12) (Figure 8a-d). All cells were evaluated for morphological signs of cell death and/or differentiation. All cultures contained an increased number of dying cells at the highest tested VPA concentration and in some cultures such as

SW-1116 colon cancer cells (Figure 8 e) most cells were dying already at 1 mM VPA during the experiment. PC-3 (Figure 8 e) and DU-145 (Figure 8 c) cells change their normal round morphology to a long fibroblast-like shape. Also U87MG (Figure 8 e) cells increase in length and develop spider-like filamentous extensions.

Cells in panels 1 to 9 (Figure 8a-c) were seeded in 96 well culture dishes at densities between 3000 and 8000 per well. After recovery of 24 hours cells were cultured for 48 hours in the absence or presence of the indicated concentrations of VPA. Cultures were fixed with TCA by layering 50  $\mu$ l of cold 50% TCA on top of the growth medium in each well to produce a final TCA concentration of 10%. After 1 hour of incubation at 4 °C the cells were washed five times with tap water and air dried. Fixed cells were stained for 30 minutes with 0,4% (wt/vol) Sulforhodamine B dissolved in 1% acetic acid and washed four times with 1% acetic acid to remove unbound dye. After air drying bound dye was solubilized with 10 mM unbuffered Tris base (pH 10,5) for 5 minutes on a gyratory shaker. Optical densities were read on a Titertek Multiskan Plus plate reader at a single wavelength of 550 nm. Six test wells for each dose-response were set in parallel with 12 control wells per cell line. A measure of the cell population density at time 0 ( $T_0$ ; the time at which the drug was added) was also made from 12 extra reference wells of cells fixed with TCA just prior to drug addition to the test plates. Background OD of complete medium with 5% FBS fixed and stained as described above was also determined in 12 separate wells.

From the unprocessed OD data the background OD measurements (i.e. OD of complete medium plus stain and OD of cells at  $T_0$ ) were subtracted thus giving the reduction of total cellular biomass of the cells.

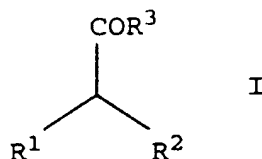
Cells in panels 10 to 12 (Figure 8d) were cultured 36 to 50 hours as indicated in the absence or presence of the indicated concentrations of VPA in 96 well dishes. 37 kBq of  $^3\text{H}$ -thymidine were added for additional 12 hours of culture. Incorporation of  $^3\text{H}$ -thymidine into DNA was determined by automatic cell harvesting and liquid scintillation counting.

The graphs in Figure 8a-d show means  $\pm$  S.D. from sixfold determinations.

In addition cancer cells of further organ origins have been treated with valproic acid in the same way as described for experiments presented in Figure 8a-c. Figure 8e summarizes the reduction of total cellular biomass of various human cancer cells by treatment with 1 mM VPA. This reduction could indicate differentiation associated cell cycle arrest and/or induction of cell death. Cells were VPA treated for 48 hours. The inhibition was calculated from six response tests performed in parallel and reductions of cellular biomass are given in percent of untreated cells with standard deviations.

Claims

1. The use of a compound of formula I



wherein  $\text{R}^1$  and  $\text{R}^2$  independently are a linear or branched, saturated or unsaturated, aliphatic  $\text{C}_{2-25}$  hydrocarbon chain which optionally comprises one or several heteroatoms and which may be substituted,  $\text{R}^3$  is hydroxyl, halogen, alkoxy or an optionally alkylated amino group, or of pharmaceutically acceptable salts thereof

as an inhibitor of an enzyme having histone deacetylase activity.

2. A use according to claim 1, wherein  $\text{R}^1$  and  $\text{R}^2$  independently are a linear or branched  $\text{C}_{2-10}$  hydrocarbon chain which optionally comprises one double or triple bond.

3. A use according to claim 1 or 2, wherein the compound is selected from the group consisting of VPA, S-4-yn VPA, 2-EHXA (2-Ethyl hexanoic acid) and pharmaceutically acceptable salts thereof.

4. A use according to anyone of claims 1 to 3, wherein the enzyme having histone deacetylase activity is a mammalian, preferably a human histone deacetylase.

5. A use according to claim 4, wherein the human histone deacetylase is selected from the group consisting of HDACs 1-8.
6. A use according to anyone of claims 1 to 5, wherein the compound is used for the induction of differentiation of cells.
7. A use according to claim 6, wherein the compound is used for the induction of differentiation of transformed cells.
8. A use according to anyone of claims 1 to 5, wherein the compound is used for the induction of apoptosis of transformed cells.
9. The use of a compound as defined in claims 1 to 3 for the manufacture of a medicament for the treatment of a disease in which the induction of hyperacetylation of histones has a beneficial effect.
10. The use of a substance which is metabolized to a compound as defined in claims 1 to 3 in patients, for the manufacture of a medicament for the treatment of a disease in which the induction of hyperacetylation of histones has a beneficial effect.
11. A use according to claim 9 or 10, wherein the disease is selected from the group consisting of skin cancer, estrogen receptor-dependent and independent breast cancer, ovarian cancer, prostate cancer, renal cancer, colon and colorectal cancer, pancreatic cancer, head and neck cancer, small cell and non-small cell lung carcinoma, and endocrine disease based on aberrant recruitment of histone deacetylase such as thyroid resistance syndrome.
12. A method for the identification of substances having histone deacetylase inhibitory activity which comprises



providing a compound according to claims 1 to 3;

determining the histone deacetylase inhibitory activity of the derivative;

selecting the substance if the substance has histone deacetylase inhibitory activity.

13. A method according to claim 12, wherein the method comprises the steps of

determining the sedating effect of the compound and

selecting the compound if the compound has histone deacetylase inhibitory activity and a lower sedating effect than valproic acid.

14. A method according to claim 12 or 13, wherein the histone deacetylase inhibitory activity is determined by

a transcription repression assay,

a Western Blot detecting acetylation of histone H3 or histone H4,

or by enzymatic deacetylase assay.

15. A method for profiling of the HDAC isoenzyme specificity of a compound as defined in claims 1 to 3 wherein the binding of the compound to HDAC and/or the competition for binding to HDAC is measured .

16. A method according to claim 15 for the selection of compounds which specifically inhibit only a subset of HDACs.

17. A method for the identification of genes induced by valproic acid or a derivative thereof which comprises

providing two populations of cells which are substantially identical;

contacting one of the populations with VPA or a derivative thereof;

detecting genes or gene products which are expressed in the population which had been contacted with VPA or a derivative thereof at a level significantly higher than in the population which had not been contacted with VPA or a derivative thereof.

18. A method according to claim 17, wherein subtractive hybridization or screening of arrays of cDNA samples, expressed sequence tags or unigene collections is employed.

19. A diagnostic method to identify tumors comprising the step of testing whether a tumor is responsive to treatment with compounds as defined in claims 1 to 3.

20. A diagnostic method according to claim 19 comprising the method of claim 17 or 18.

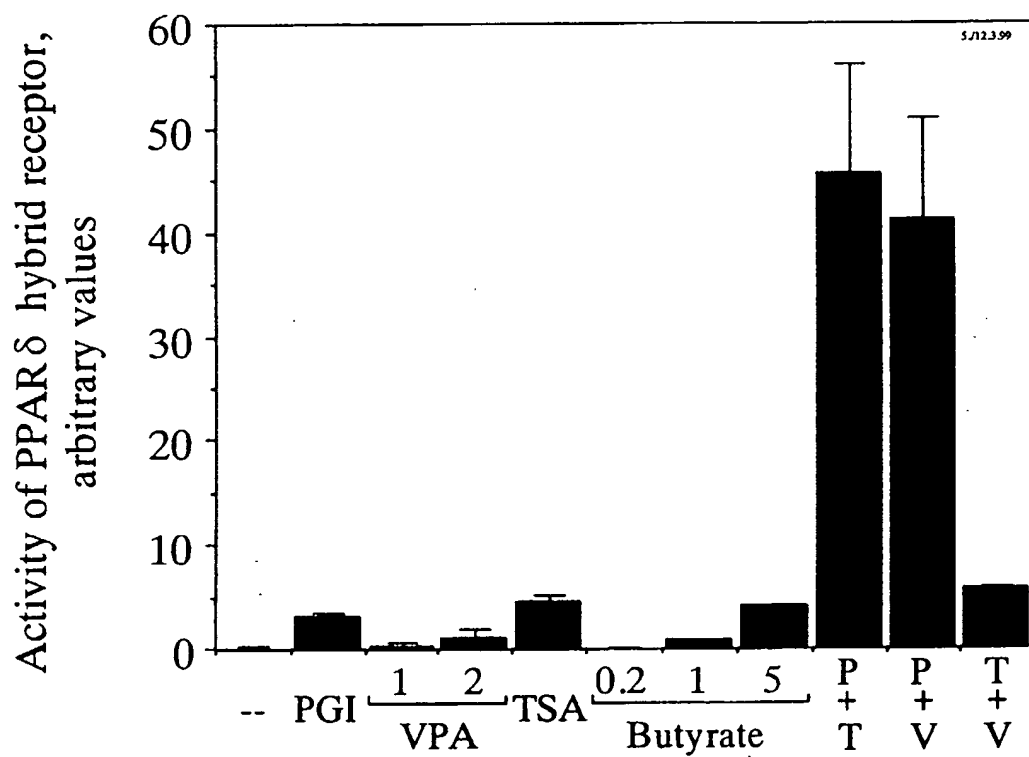
21. A diagnostic method according to claim 19 or 20 comprising the use of nucleic acid technology.

22. A diagnostic method according to claim 21, wherein hybridization or polymerase chain reaction is used for detection.

23. A diagnostic method according to claim 19 or 20 comprising the use of specific antibodies against differentially regulated proteins for detection.

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Figure 1



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Figure 2

### Relief of Repression by HDAC-Inhibitors

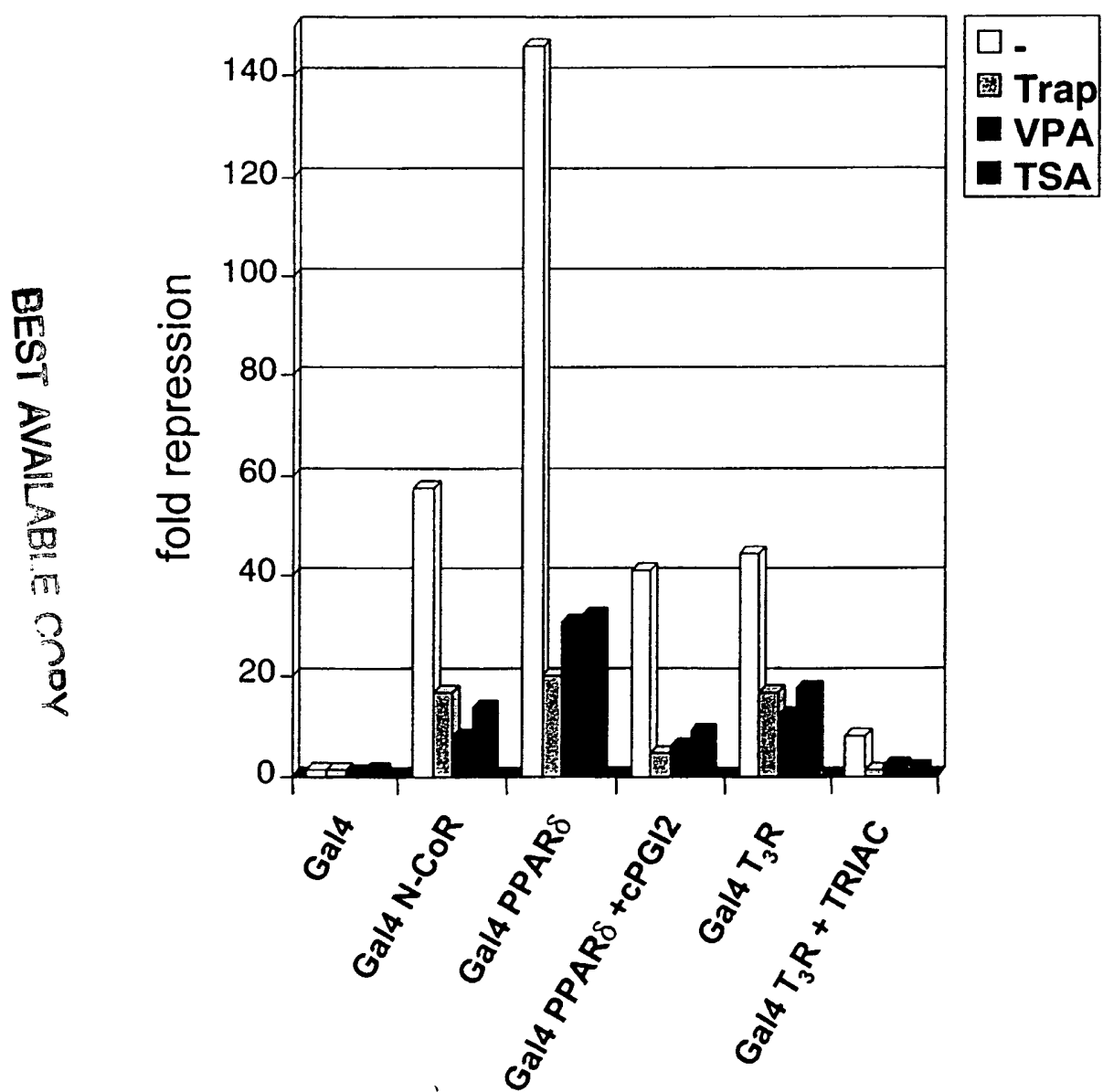
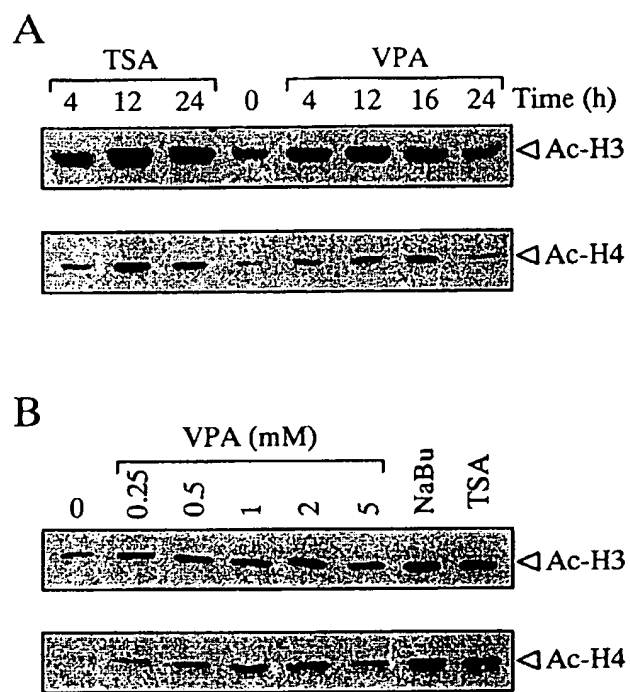


Figure 3



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Figure 4

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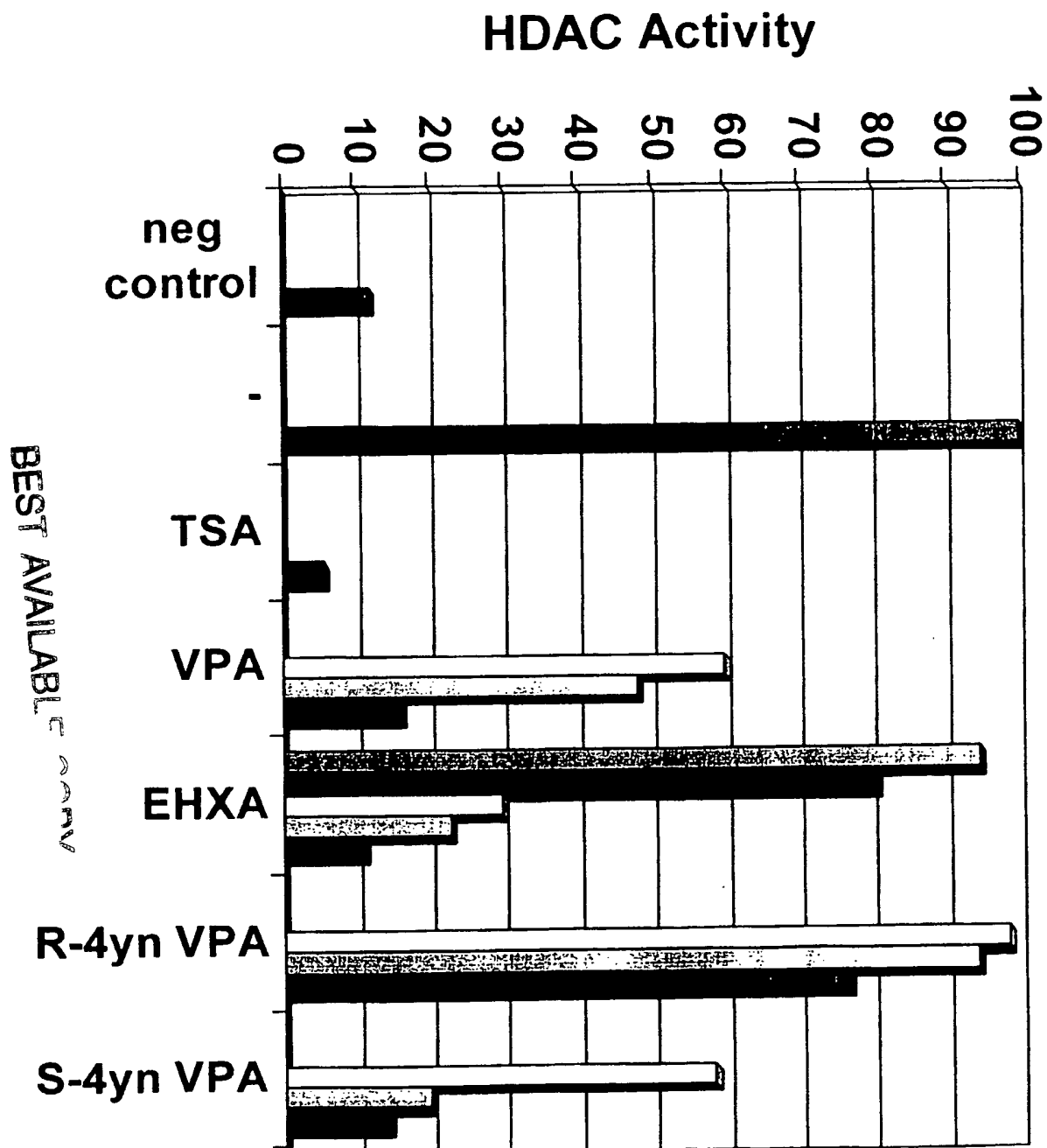
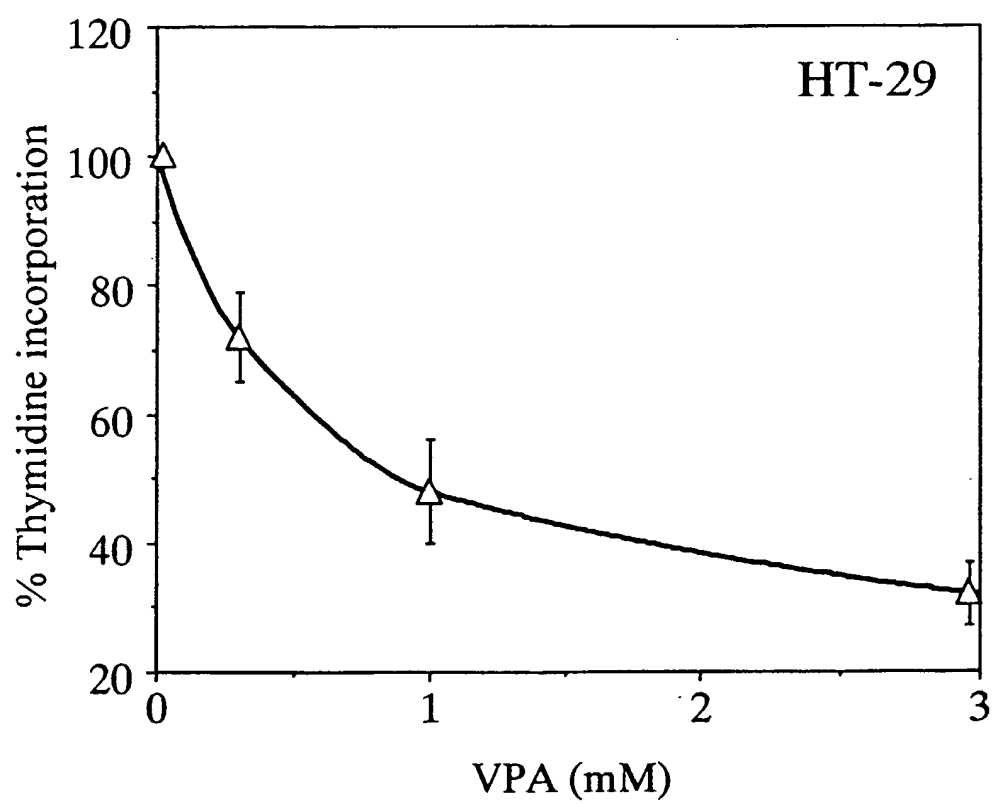
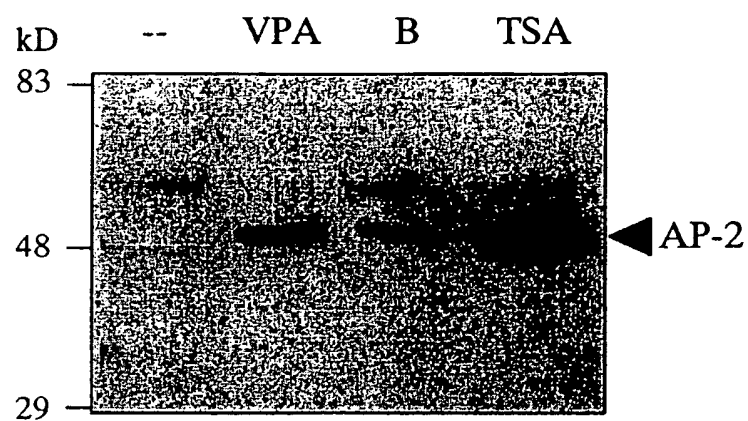


Figure 5A



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Figure 5B



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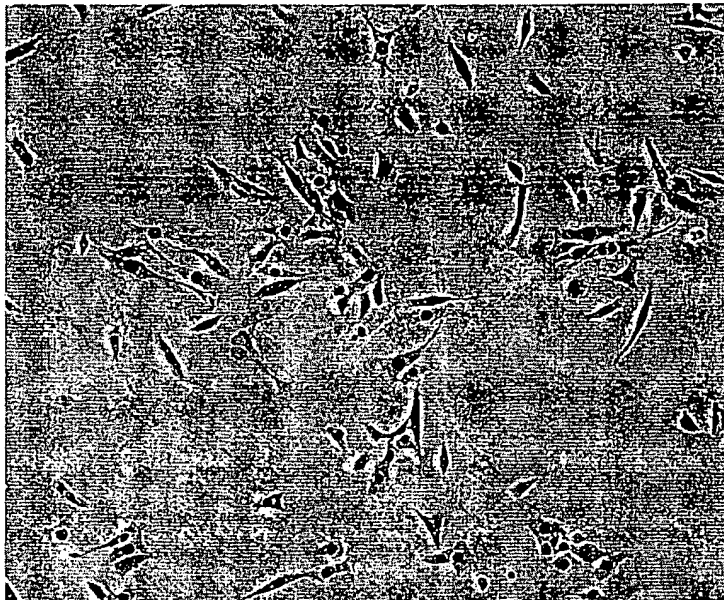


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Figure 5C

Induction of differentiation in RenCa-LacZ cells by VPA

Control



1 mM VPA

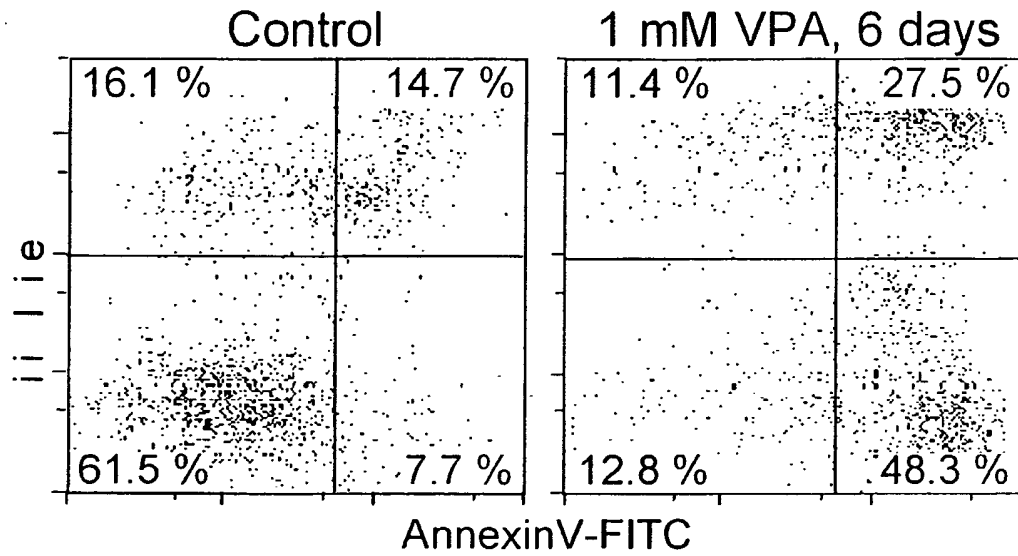


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Figure 6

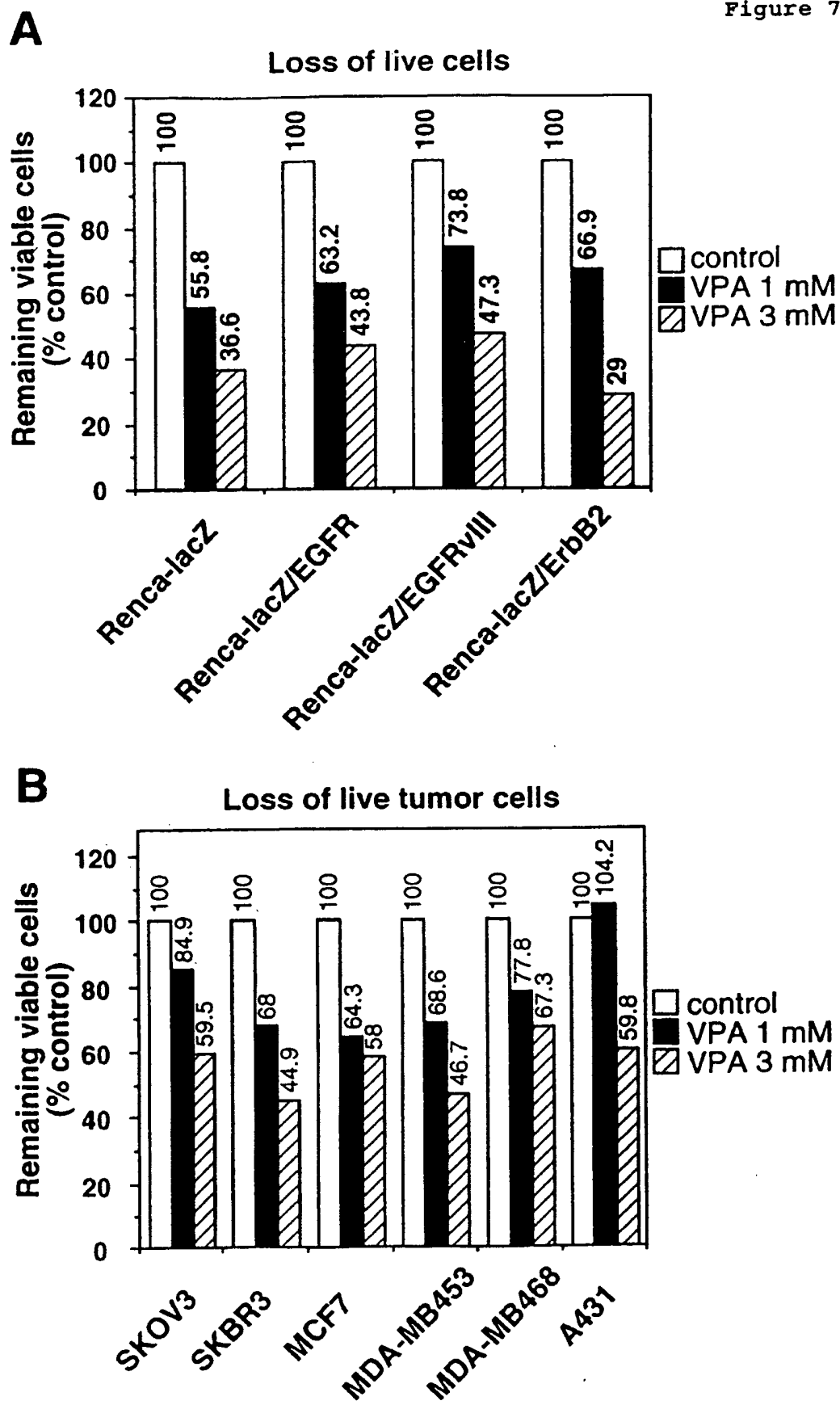
Induction of apoptosis in MT450 breast cancer cells



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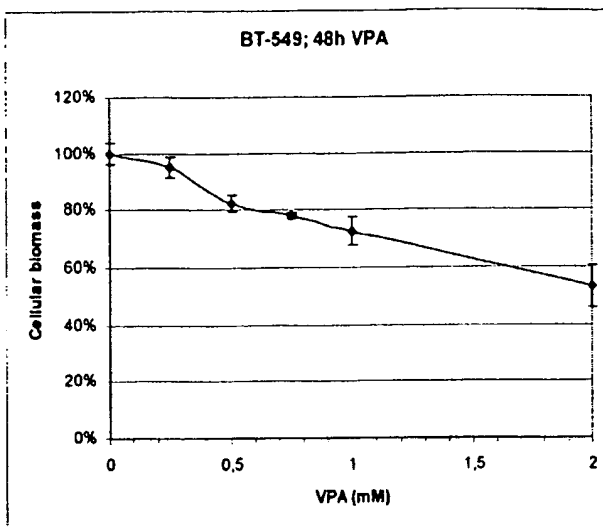
Figure 7



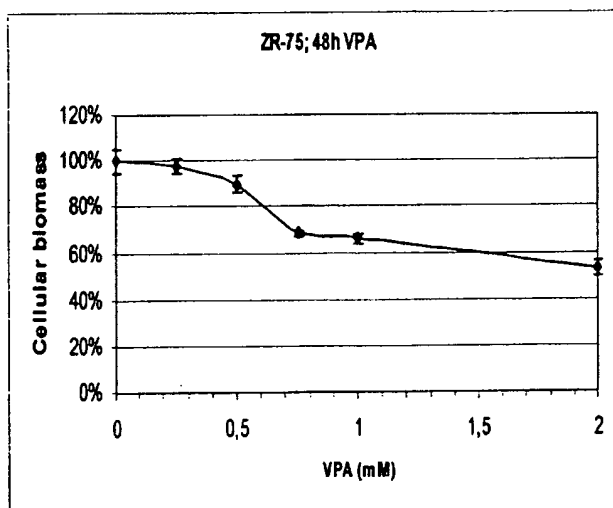
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Figure 8 a

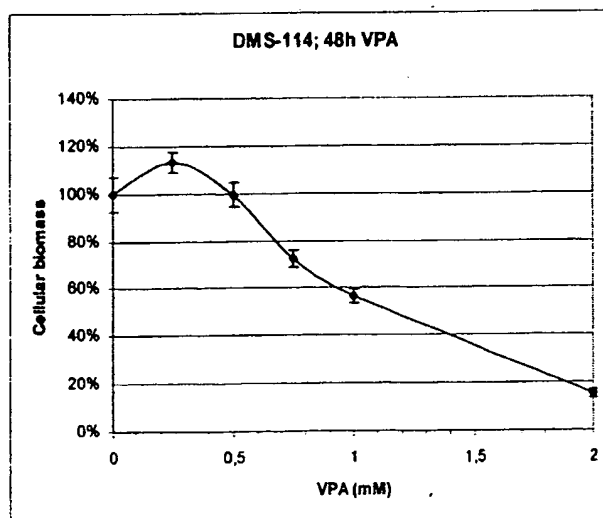
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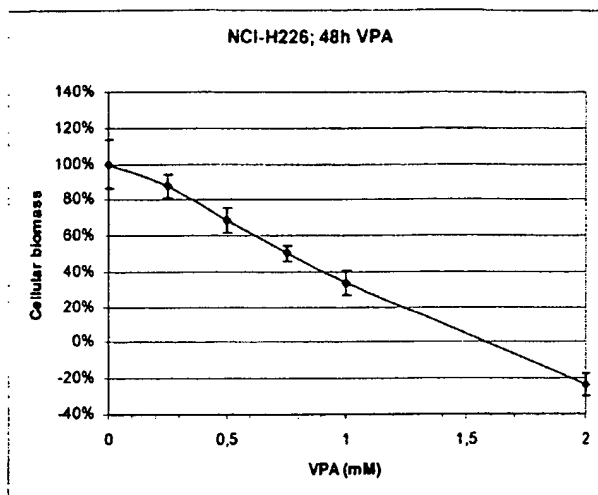
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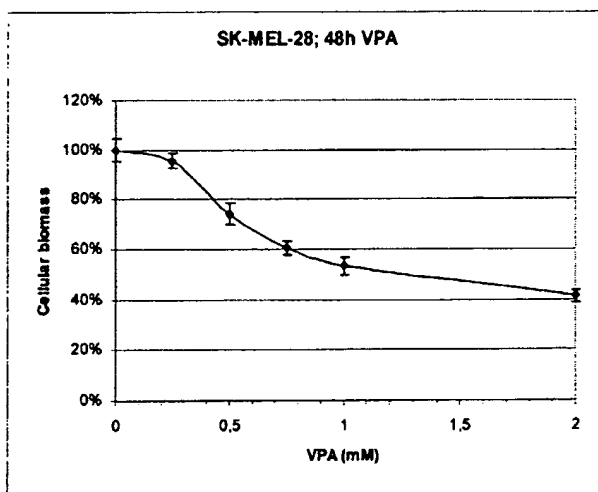
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Figure 8 b

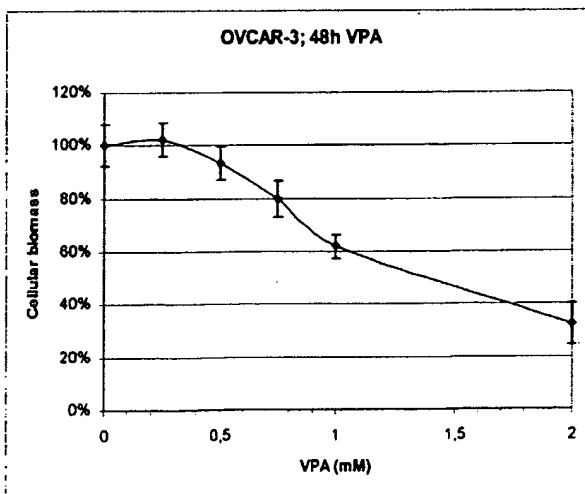
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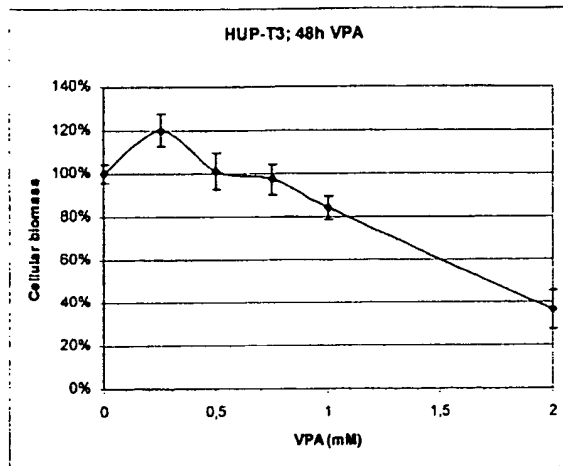
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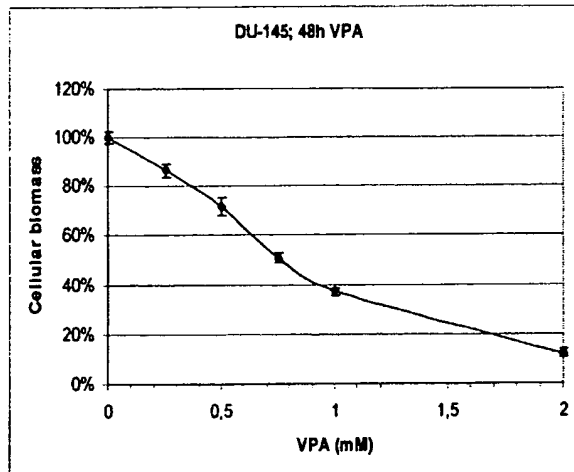
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Figure 8 c

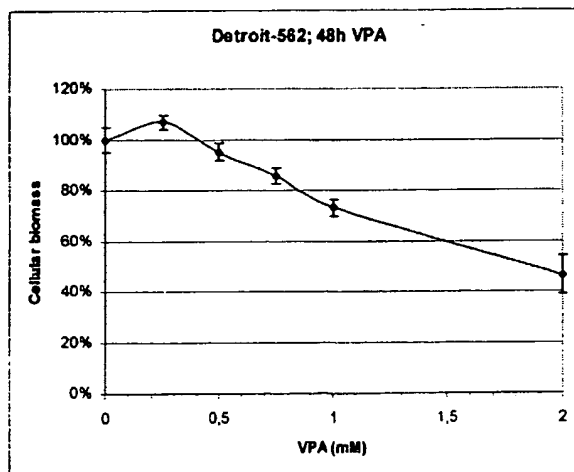
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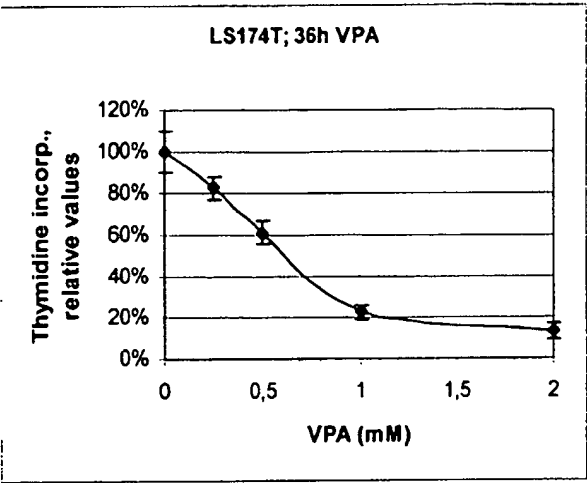
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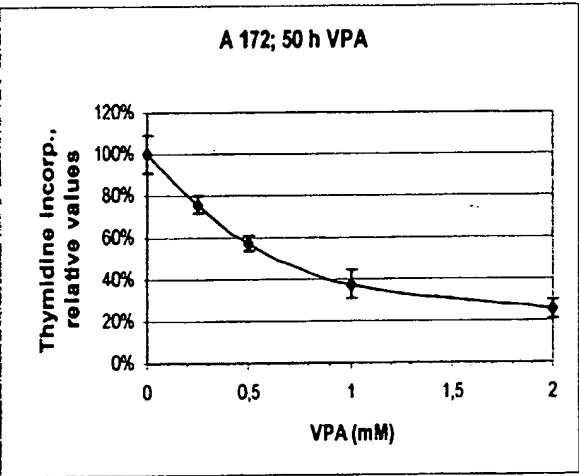
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Figure 8 d

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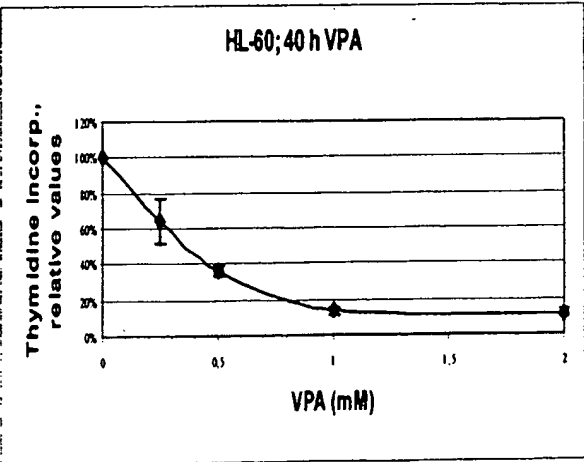


Figure 8 e

Cell Line	Organ origin	Reduction of cellular biomass at 1mM VPA
PC-3	prostate	51 % $\pm$ 3 %
DU-145	prostate	67 % $\pm$ 2 %
T47-D	breast, ductal	70 % $\pm$ 2 %
ZR-75	breast, ductal	38 % $\pm$ 9 %
ZR-75-30	breast, ductal	20 % $\pm$ 2 %
MCF-7	breast	13 % $\pm$ 4 %
BT-549	breast	27 % $\pm$ 5 %
HT-29	colon	43 % $\pm$ 2 %
LS174T	colon	77 % $\pm$ 4 %
SW-1116	colon	84 % $\pm$ 1 %
HCT-15	colon	26 % $\pm$ 2 %
COLO320DM	colon	62 % $\pm$ 11 %
NCI-H23	non-small cell lung	38 % $\pm$ 3 %
NCI-H226	non-small cell lung	66 % $\pm$ 6 %
A-549	non-small cell lung	12 % $\pm$ 5 %
DMS-114	small cell lung	43 % $\pm$ 3 %
SHF-77	small cell lung	36 % $\pm$ 5 %
SK-MEL-28	melanoma	47 % $\pm$ 2 %
MALME-3M	melanoma	105 % $\pm$ 5 %
OVCAR-3	ovarian	38 % $\pm$ 4 %
SK-OV-3	ovarian	17 % $\pm$ 7 %
CAPAN-1	pancreas	27 % $\pm$ 7 %
HUP-T3	pancreas	16 % $\pm$ 6 %
DETROIT-562	head and neck	27 % $\pm$ 3 %
FADU	head and neck	29 % $\pm$ 2 %
A-172	glioblastoma	62 % $\pm$ 7 %
U87MG	glioblastoma	27 % $\pm$ 9 %
HL-60	leukemia	87 % $\pm$ 2 %
NB-4	leukemia	100 % $\pm$ 1 %
NB-4R	leukemia	87 % $\pm$ 1 %



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**Published:**

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(74) Agent: LEDERER, KELLER & RUEDERER; Prinzre-  
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WO 02/007722 A3

(54) Title: VALPROIC ACID AND DERIVATIVES THEREOF AS HISTONE DEACETYLASE INHIBITORS

(57) Abstract: The present invention relates to the use of the drug valproic acid and derivatives thereof as inhibitors of enzymes having histone deacetylase activity. The invention also relates to the use of those compounds for the manufacture of a medicament for the treatment of diseases which are associated with hypoacetylation of histones or in which induction of hyperacetylation has a beneficial effect for example by induction of differentiation and/or apoptosis in transformed cells.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 01/07704

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K31/19 A61P35/00 A61P5/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K A61P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS, EMBASE, CHEM ABS Data, MEDLINE, PASCAL

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>WO 98 29114 A (BEACON LAB L L C)            9 July 1998 (1998-07-09)            page 5, line 11 - page 7, line 10            page 12, line 19 - line 23            claim 1; table 1</p> <p style="text-align: center;">--- -/--</p>	9,11

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

15 April 2002

Date of mailing of the international search report

12 4. 05. 02

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# INTERNATIONAL SEARCH REPORT

Intern. Application No

PCT/EP 01/07704

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X	DATABASE BIOSIS 'Online! BIOSCIENCES INFORMATION SERVICE, PHILADELPHIA, PA, US; 2000 NIEDER CARSTEN ET AL: "A review of current and future treatment strategies for malignant astrocytomas in adults." Database accession no. PREV200000333383 XP002188064 abstract	9
Y	& STRAHLENTHERAPIE UND ONKOLOGIE, vol. 176, no. 6, 2000, pages 251-258, ISSN: 0179-7158 ---	11
X	WO 96 06821 A (UNIV DUBLIN ;REGAN CIARAN M (IE); AMERICAN BIOGENETIC SCIENCES (US) 7 March 1996 (1996-03-07) cited in the application page 6, line 6 - line 32 page 12, line 14 - line 15 page 20, line 1 - line 8 examples 1-5 ---	9
Y	LETIZIA C ET AL: "REDUCTION OF AN ACTH SECRETING ADENOMA IN A PATIENT WITH NELSON'S SYNDROME CHRONICALLY TREATED WITH SODIUM VALPROATE" EUROPEAN JOURNAL OF INTERNAL MEDICINE, ELSEVIER, AMSTERDAM,, NL, vol. 5, no. 4, October 1994 (1994-10), pages 325-328, XP000997468 ISSN: 0953-6205 abstract	11
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# INTERNATIONAL SEARCH REPORT

Internal Application No

PCT/EP 01/07704

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
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Y	& MORI ET AL: "Effect of alpha-mercaptopropionylglycine (alpha-MPG) and sodium dipropylacetate (DPA) on antibody formation. IV. Tumor immunity" FOLIA PHARMACOL. JAPONICA, vol. 74, no. 8, November 1978 (1978-11), pages 907-923,	11
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X	figures 3-6	17
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# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 01/07704

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>FISCHKOFF S A ET AL: "INDUCTION OF NEUTROPHILIC DIFFERENTIATION OF HUMAN PROMYELOCYTIC LEUKEMIC CELLS BY BRANCHED-CHAIN CARBOXYLIC ACID ANTICONVULSANT DRUGS" JOURNAL OF BIOLOGICAL RESPONSE MODIFIERS, RAVEN PRESS, NEW YORK, NY, US, vol. 3, no. 2, April 1984 (1984-04), pages 132-137, XP000997488 ISSN: 0732-6580 abstract</p> <p>---</p>	
A	<p>GOETTLICHER M ET AL: "Cellular actions of valproic acid and its teratogenic derivatives: activation of peroxisome proliferator activated receptors (PPARs) and differentiation of teratocarcinoma cells" NAUNYN-SCHMIEDEBERGS ARCHIV FUR PHAMAKOLOGIE, SUPPLEMENT, SPRINGER VERLAG, BERLIN,, DE, vol. 358, no. 4 suppl3, October 1998 (1998-10), page r775 XP002166104 ISSN: 0944-5250 abstract</p> <p>---</p>	
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# INTERNATIONAL SEARCH REPORT

Internal Application No

PCT/EP 01/07704

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
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Y	abstract & MOLECULAR PHARMACOLOGY, (1999 MAR) 55 (3) 521-7. ,	18
X	----- DATABASE MEDLINE 'Online! US NATIONAL LIBRARY OF MEDICINE (NLM), BETHESDA, MD, US; WLODARCZYK B C ET AL: "Valproic acid-induced changes in gene expression during neurulation in a mouse model." retrieved from STN Database accession no. 97253481 XP002196054	17
Y	abstract & TERATOLOGY, (1996 DEC) 54 (6) 284-97. ,	18
X	----- DATABASE MEDLINE 'Online! US NATIONAL LIBRARY OF MEDICINE (NLM), BETHESDA, MD, US; MANJI H K ET AL: "Modulation of CNS signal transduction pathways and gene expression by mood-stabilizing agents: therapeutic implications." retrieved from STN Database accession no. 1999171393 XP002196055	17
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# INTERNATIONAL SEARCH REPORT

Internat. Application No  
PCT/EP 01/07704

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>DATABASE MEDLINE 'Online! US NATIONAL LIBRARY OF MEDICINE (NLM), BETHESDA, MD, US; ROGIERS V ET AL: "Effects of the anticonvulsant, valproate, on the expression of components of the cytochrome-P-450-mediated monooxygenase system and glutathione S-transferases." retrieved from STN Database accession no. 95361856 XP002196056</p>	17
Y	<p>abstract &amp; EUROPEAN JOURNAL OF BIOCHEMISTRY, (1995 JUL 15) 231 (2) 337-43. ,</p>	18
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Y	<p>abstract &amp; JOURNAL OF NEUROCHEMISTRY, (1999 FEB) 72 (2) 879-82. ,</p>	18
X	<p>--- DATABASE MEDLINE 'Online! US NATIONAL LIBRARY OF MEDICINE (NLM), BETHESDA, MD, US; SANDS S A ET AL: "Changes in tyrosine hydroxylase mRNA expression in the rat locus coeruleus following acute or chronic treatment with valproic acid." retrieved from STN Database accession no. 2000099305 XP002196058</p>	17
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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/EP 01/07704

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 1-8, 19-23  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Diagnostic method practised on the human or animal body  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by therapy
2. ☒ Claims Nos.: 10; 9 and 11-16 (in part)  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

See additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☒ No protest accompanied the payment of additional search fees.



FURTHER INFORMATION CONTINUED FROM PCT/ISA/

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 9-16

Use of a compound of formula I (valproic acid and derivatives) for the manufacture of a medicament for the treatment of a disease in which the induction of hyperacetylation of histones has a beneficial effect and methods for the identification of substances having histone deacetylase inhibitory activity using said compounds.

2. Claims: 17-18

Method for the identification of genes induced by valproic acid using two populations of cells and measuring gene expression therein.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 10; 9 and 11-16 (in part)

Claims 9 and 11-16 relate to an infinite number of compounds, because neither the type, number or position of the heteroatoms and substituents of R1 and R2 are defined nor is there any limitation for the alkoxy group or for the optionally alkylated amino group of R3. The claims thus lack clarity and conciseness within the meaning of Article 6 PCT. Therefore a meaningful search over the whole of the claimed scope is impossible and the search was carried out for the compounds of claim 3.

Claim 10 was not searched because it relates to the use of an undefined substance. It lacks the required clarity of Article 6 PCT as the skilled person in the art cannot think of an exhaustive list of substances meeting the requirement to be metabolized to abovementioned substances.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Internat. Application No

PCT/EP 01/07704

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
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